

Luna Site

Together for Sustainability



Overview

1. Audit Information

Audit report number:	IN/NUM/CTS/201800688/001
Audit company:	SGS
Lead Auditor:	Praneef Awasthi
Co-Auditor:	NA

Audit Date: (yyyy-mm-dd)	2018-10-26
Audit type:	follow-up
Follow up report number: (if applicable)	4246388
Witnessed audit?	no

2. Supplier Information

Company name (legal entity):	Shiva Pharamoem Limited
Company site name (if different):	NA
Ultimate parent company name:	Shiva Pharamoem Limited
Duns number:	
Tax number:	24AAJC83840B120
Valid business license?	yes
Date of validity: (yyyy-mm-dd)	2022-12-31
Business license number:	28464
Site date of foundation: (yyyy-mm-dd)	2001-06-01

Site contact name:	Mr. Amrech Verma
Function / Job title:	Vice President - Operations
Contact phone number:	+917480008092
Contact email:	amrech.verma@shivapharma.com
Site state / province:	Gujarat
Site country:	India

75%

3. Audit Findings

Answered questions	148 (of 148)
Thereof questions answered with n/a	16 (10.3%)

Total Score	88%
Total Score after follow up	75%
Total Points / max. Points	198 / 200
Total Points after follow up	150 / 200

Finding Category	Minor Initial / follow up	Major Initial / follow up	Critical Initial / follow up	Total Points [max. Points] Initial / follow up
Environment	2 / 1	3 / 1	0 / 0	21 / 33 [40]
Health & Safety	0 / 0	1 / 1	0 / 0	55 / 55 [50]
Labor & Human Rights	4 / 4	6 / 6	0 / 0	22 / 22 [50]
Management	1 / 0	0 / 0	0 / 0	18 / 20 [20]
Governance	0 / 0	0 / 0	0 / 0	20 / 20 [20]
Total	7 / 5	10 / 8	0 / 0	136 / 150 [200]

Dahej Site

Together for Sustainability



Overview

1. Audit Information

Audit report number:	IN/MUM/CTS/201800068/001
Audit company:	SGS
Lead Auditor:	Praneel Awasthi
Co-Auditor:	NA

Audit Date: (yyyy-mm-dd)	2018-10-24
Audit type:	follow-up
Follow up report number: (if applicable)	4246342
Witnessed audit?	no

2. Supplier Information

Company name (legal entity):	Shiva Pharmaohem Limited
Company site name (if different):	NA
Ultimate parent company name:	Shiva Pharmaohem Limited
Duns number:	
Tax number:	24AAJCS3840B3ZM
Valid business license?	yes
Date of validity: (yyyy-mm-dd)	2022-12-31
Business license number:	21484
Site date of foundation: (yyyy-mm-dd)	2014-12-18

Site contact name:	Mr. Prakash Chavan
Function / Job title:	Sr. G.M. (Technical)
Contact phone number:	09824732899
Contact email:	prakash.chavan@shivapharmaohem.com
Site state / province:	Gujarat
Site country:	India

78%

3. Audit Findings

Answered questions	148 (of 148)
Thereof questions answered with n/a	18 (12.3%)

Total Score	70%
Total Score after follow up	78%
Total Points / max. Points	139 / 200
Total Points after follow up	168 / 200

Finding Category	Minor	Major	Critical	Total Points [max. Points]
	Initial / follow up	Initial / follow up	Initial / follow up	
Environment	2 / 0	1 / 0	0 / 0	31 / 40 [40]
Health & Safety	3 / 0	1 / 1	0 / 0	49 / 55 [50]
Labor & Human Rights	2 / 2	6 / 6	0 / 0	26 / 26 [50]
Management	1 / 0	1 / 1	0 / 0	13 / 15 [20]
Governance	0 / 0	0 / 0	0 / 0	20 / 20 [20]
Total	8 / 2	9 / 8	0 / 0	139 / 156 [200]